Research Degrees in Affiliated Research Centres handbook 2016-2017

This handbook provides information regarding the services available to research degree students; the policies that apply to registration; and it provides advice about what to do at key points in the student journey.

This handbook has been prepared by the Research Degrees Office and the Business and Online Support Services unit of The Open University.

This handbook is available online from

www.open.ac.uk/research/arc-handbook/ and from
www.open.ac.uk/students/charter/essential-documents

While The Open University has done everything possible to ensure accuracy, the information in this handbook may change during the life of this edition due to changes in regulations or policy or because of financial or other constraints. When regulations and policies are updated, the most current edition will apply to all students irrespective of when they registered, unless special provision is made for particular groups or cohorts of students.

The Open University reserves the right to record phone calls with students to make sure that it has carried out any instructions correctly and to help improve services through staff training.

The Open University is incorporated by Royal Charter (RC 000391), an exempt charity in England & Wales and a charity registered in Scotland (SC 038302). The Open University is authorised and regulated by the Financial Conduct Authority in relation to its secondary activity of credit broking.
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Introduction

Welcome to research at the The Open University

The Open University’s mission is to be open to people, places, methods and ideas. This is reflected in its research which has the same openness and global reach. The research community comprises approximately 1,000 postgraduate research students, at the state-of-the-art research facilities on campus in Milton Keynes, online, or working in the University’s Affiliated Research Centres (ARCs) around the world. 187 students were awarded research degrees by The Open University in the academic year 2015-16.

The Open University’s innovative research and development ranks in the top half of all UK universities. The University’s research influences national and international policy and practice, and enriches the lives of ordinary people around the world every day.

In the Research Excellence Framework (REF) 2014, 72 percent of all Open University research submitted was graded as internationally excellent or world leading. In music, 94 percent of research was assessed as internationally excellent and above, ranking it 8th in the UK in its field. Assessment in the REF of the impact of research on society has shown that Open University research makes a substantial contribution to the economy, policy making and quality of life in the UK and across the globe. Examples of the outstanding impacts of Open University research include:

- Space researchers built the instrument Ptolemy, part of the Philae lander, which landed on comet 67P in November 2014.
- Research on the climate of and animals living at the earth’s poles was used for the BBC TV programme, Frozen Planet, watched by over 10.8 million viewers an episode.
- Design research on sustainable growth is influencing the development of transport technologies and systems in Milton Keynes.
- Innovation research has influenced government policies on the pharmaceutical industry in several African countries.
- Research by the Institute of Social Marketing on alcohol marketing led the British Medical Association to call for a ban on alcohol advertising and the introduction of minimum alcohol prices.

The Open University aims to ensure that its research continues to be recognised for excellence and impact, delivering substantial benefits to the University’s mission and priorities.

The aims of the Research Plan 2020 are to:

- Ensure that Open University research transforms lives by meeting the challenges of the twenty-first century and promotes social justice.
- Improve The Open University’s national ranking in the next Research Excellence Framework.
- Achieve global influence for research through open and innovative forms of knowledge sharing, supporting by digital technologies.
- Continue to use the research to inform the Open University’s curriculum and underpin an outstanding learning experience for all students.
- Support, develop and value all of the research community in a sustainable environment that fosters creativity and collaboration.
How to use this handbook

This handbook is a guide to the University’s academic and administrative arrangements for research degree study. It provides information about the services available to research degree students, the policies that apply to registration, and it provides advice about key points in the student journey.

This handbook should be read prior to registration and referred to throughout registration. Upon acceptance of an offer of registration students will be bound by the Research Degree Regulations, the terms and conditions described here, and relevant policies on the Essential Documents website.

The Open University Student Charter sets out the responsibilities The Open University and its students have towards each other, to allow students to achieve their full potential.

Contact details are provided in Part 6.

This handbook is in six parts containing:

Part 1 Research degrees at The Open University
Research degree qualifications, mode and location of study, the organisational structure for delivering research degrees, administrative arrangements and Equality and Diversity.

Part 2 Terms and conditions of registration
The student record, the terms and conditions of registration, fees, financial support and grants.

Part 3 Studying for a research degree
Key milestones, facilities and services, the research community, professional development, participating in The Open University life, help and support.

Part 4 Facilities and Services
Research facilities and support services available to students.

Part 5 Appeals and complaints Procedures for making a formal appeal or complaint.

Part 6 Contact details

Online resources

Research website
A wealth of information about the University, the research degrees programmes, and student registration can be found online. Please visit the Graduate School Network.

Graduate School Network (GSN)
The Graduate School Network is the gateway to online training and development resources. Forms and guidance notes that support student progress are available on the GSN. All of the University’s policies, statements and regulations can also be found on this website.
Part 1 Research degrees at The Open University

1.1 The Open University

The Open University is a world leader in modern distance learning, the pioneer of teaching and learning methods which enable people to achieve their career and life goals studying at times and in places to suit them.

The Open University promotes educational opportunity and social justice by providing high-quality university education to all who wish to realise their ambitions and fulfil their potential.

Through academic research, pedagogic innovation and collaborative partnership the University seeks to be a world leader in the design, content and delivery of supported open learning.

The University’s central headquarters is at Walton Hall, Milton Keynes, and it has 6 regional and national centres located in other parts of the United Kingdom.

Over 900 full-time academic and research staff are employed by the University, the majority of whom are based at Walton Hall which is the administrative and operational centre of the University. It is also the location at which most of the research is undertaken.

The University has over 20 Affiliated Research Centres around the world, with approximately 250 students engaged in research degree studies.

The Open University Graduate School launched in October 2016, is a focal point for research degree community support. Its aim is to facilitate the development of research degree community networks, partnerships and training pathways to support an open, engaged and digitally literate research student community.

Research degree policies, regulations and services for research students are aligned with the Quality Assurance Agency UK Quality Code for Higher Education (2012) Chapter B11: Research Degrees.

1.2 Research degree qualifications

The University awards four higher degrees by research:

- Master of Philosophy (MPhil)
- Doctor of Philosophy (PhD)
- Doctorate in Education (EdD)*
- Master of Research (MRes)*

* These degrees are available only to students directly supported by The Open University.

MPhil

For the Master of Philosophy a student must undertake a research programme leading to the submission of a thesis that must include a critical review of the literature and give evidence of research ability. It must be a distinct contribution to scholarship in the field. In order to be awarded the degree students must demonstrate that they have met the expectations for the
Master of Philosophy degree as specified in the QAA Framework for Higher Education Qualifications\(^1\) (see Appendix 1A of the Research Degree Regulations).

**PhD**

A thesis for the Doctor of Philosophy must be a significant contribution to knowledge, worthy of publication and demonstrate the ability of the candidate to undertake further research without supervision. In order to be awarded the degree students must demonstrate that they have met the expectations for the Doctor of Philosophy degree as specified in the QAA Framework for Higher Education Qualifications\(^1\) (see Appendix 1B of the Research Degree Regulations).

**PhD by published work**

A student may apply to submit a portfolio of published work and a cover paper for the degree of Doctor of Philosophy. The criteria for the award of the degree are that the portfolio of work must show a significant contribution to knowledge. In order to be awarded the degree students must demonstrate that they have met the expectations for the Doctor of Philosophy degree as specified in the QAA Framework for Higher Education Qualifications\(^1\) (see Appendix 1B of the Research Degree Regulations).

**Higher doctorates**

The University awards two higher doctorates on the basis of published work:

- Doctor of Letters (DLitt)
- Doctor of Science (DSc)

The candidate must show that s/he has made an original and substantial contribution to a significant field of study over a considerable period of time. The published work submitted must demonstrate that the candidate is a leading authority in his/her field and has contributed to important developments and innovations.

The entrance requirements for all research degrees can be found in the [Research Degree Regulations](http://www.qaa.ac.uk/en/Publications/Documents/qualifications-frameworks.pdf).

**Taking another research degree**

If a student has been awarded one research degree and wants to take another, they must reapply for admission to the University. The second research programme may build on the work from the first thesis, but the submission for the qualification must contain entirely new material. Clearly indicated references to the contents of the first thesis are permitted.

1.3 **The Affiliated Research Centre (ARC) programme**

The ARC Programme enables leading research institutes who do not have their own degree awarding powers, to provide doctoral training with the support of The Open University. ARCs may register students across the full range of areas of The Open University academic excellence for a UK postgraduate research degree.

\(^1\) http://www.qaa.ac.uk/en/Publications/Documents/qualifications-frameworks.pdf
Standards
The Open University will ensure that the research degrees awarded to students at ARCs are consistent and comparable in standard with similar degrees throughout higher education in the United Kingdom in accordance with the requirements of the Quality Assurance Agency UK Quality Code (2012), Chapter B10: Managing Higher Education with Others.

The University conditions
Full-time and part-time students may undertake an Open University research degree at an ARC that has met the conditions set by the University, which are available on request. The University needs to be satisfied that the research environment of an ARC is a suitable one in which to undertake research leading to a research degree.

Formal agreement
Approved ARCs have entered into a formal agreement with The Open University. In the agreement the organisation has confirmed that it accepts responsibility for:

- the general arrangements under which each student’s research is carried out, including arrangements for academic supervision and the provision of adequate facilities and training to enable the student to conduct and complete the research programme efficiently and safely
- proposing examination arrangements to the University for approval
- the conduct of examinations.

The agreement requires ARCs to undergo periodic reviews, and to produce an annual report.

Outside the UK
In addition to fulfilling the criteria set out above, organisations outside the UK will have been approved as ARCs provided that:

- the students can be prepared for a UK research degree
- the students have sufficient command of English to produce and defend their theses in English
- the supervisory teams have had experience of supervising UK research degree students to successful completion
- the examiners have had experience of examining UK research degree students
- the students are required to undertake viva voce examinations in English.

Research degree coordinators
ARCs appoint a member of staff who coordinates the arrangements for the operation of The Open University research degree programme within the ARC. This person is known as the research degree coordinator and is the main point of contact between the ARC and The Open University.

Collaborating establishments
The University encourages cooperation between educational establishments and industrial, commercial, professional and research establishments for the purposes of research leading to the award of a research degree. The ARC may set up formal cooperation with one or more other bodies, known as collaborating establishments. This cooperation is intended:
to encourage outward-looking and relevant research
- to extend the student’s experience
- to give access to a wider range of experience and expertise to assist the student in the development of his/her project
- to be mutually beneficial.

Formal collaboration usually means that the student may use facilities and other resources, including supervision, provided jointly by the ARC and the collaborating establishment. The student is expected to spend at least half his/her time at the ARC and be fully integrated into the ARC’s research community, student training and pastoral systems.

A formal letter, confirming the agreed arrangements and giving details of the facilities available and the time to be spent at the collaborating establishment, is submitted to the University from the collaborating establishment together with the application for registration.
1.4 Organisational structure for supporting research students

Senate retains overall responsibility for the governance and award of research degrees at The Open University. Senate delegates responsibility for the development of research degree strategy, policy and regulations to the Research Committee and the Research Degrees Committee.

The management of the day to day operation of the research degree programme is the responsibility of the Graduate School and the Faculties.

Figure 1 Support structures for research degrees
The Research Degrees Office fulfils the registry function, providing quality assurance, managing student records and ensuring that student registration and progression is managed in accordance with the University's policies, regulations and legislation.

Research students are recruited into research groups within an ARC and registered in the relevant Open University Faculty.

Upon registration each student will be assigned a supervisory team and a third party monitor. Further details regarding the responsibilities of these roles can be found in sections 3.3 and 3.4.

1.5 Administrative arrangements

Student administration of the ARC programme is handled by the Research Degrees Office at Walton Hall. Decisions about the admission, registration and progress of individual students are taken on the basis of recommendations from the ARC.

The Research Degrees Office is open from 8:30 to 17:00, Monday to Thursday and 8:30 to 16:30 on Fridays.

Whilst the Research Degree Coordinator in the ARC is the main point of contact for queries relating to research degrees at The Open University, if students and their supervisors wish to contact the Research Degrees Office directly for any reason, contact details for the Research Degrees Office can be found in Part 6.

The Research Degrees Office is part of the Research and Academic Strategy unit, which is responsible for the Code of Practice for Research, which sets out the standards that govern the conduct of research at The Open University. The code is available on the Research plan and policies website.

The Research Career Development team is responsible for providing the professional development framework for researchers at all stages of their career life cycle. There is more information about career development in Part 4.

1.6 Equality and diversity

For more than 40 years, The Open University has been promoting social justice and equality of opportunity. It is core to the University's mission and is as important today as it was when The Open University was founded.

The Open University is creating an inclusive university community and a society:

- where people are treated with dignity and respect
- where inequalities are challenged
- where it anticipates and responds positively to different needs and circumstances.

This will create an environment where everyone can achieve their potential.

The Open University’s equality and diversity principles

1. The Open University values diversity and recognises that different people bring different perspectives, ideas, knowledge and culture, and that this difference brings great strength.

2. The Open University believes that discrimination or exclusion based on individual characteristics and circumstances such as age; disability; caring or dependency
responsibilities; gender or gender identity; marriage and civil partnership status; political opinion; pregnancy and maternity; race, colour, caste, nationality, ethnic or national origin; religion or belief; sexual orientation; socio-economic background; trade union membership status or other distinctions, represents a waste of talent and a denial of opportunity for self-fulfilment.

3. It is recognised that patterns of under representation and differences in outcomes at The Open University can be challenged through positive action programmes.

4. The Open University respects the rights of individuals, including the right to hold different views and beliefs. The Open University will not allow these differences to be manifested in a way that is hostile or degrading to others.

5. The Open University expects commitment and involvement from all its staff, students, partners and providers of goods and services in working towards the achievement of its vision.

For further details about equality and diversity at The Open University, including its Equality Schemes, please visit the Equality and Diversity website.

Student responsibilities

Students should:

- treat all members of the University community with dignity and respect for their rights and beliefs
- challenge or report incidents of discrimination and bullying
- respond positively and inclusively to individual differences.

For help or advice with an issue relating to equality and diversity, contact the Research Degrees Office in the first instance. The Research Degrees advisors will be pleased to help. All enquiries will be treated sensitively and confidentially.

Equality and diversity policy enquiries may also be addressed to The Open University Equality and Diversity office (email: strategy-equality@open.ac.uk). The office offers general information and guidance on University equality and diversity policy. However, it cannot normally take an active part in issues of an individual nature concerning cases involving staff or students where these are being handled by existing institutional complaints, appeals or grievance processes.
Part 2 Terms and conditions of registration

This section contains the terms and conditions for research degree registration with The Open University. For the latest version of the terms and conditions as they apply to registration, please visit the Graduate School Network.

Other documents such as the Code of Practice of Research at The Open University, Procedure for dealing with allegations of academic malpractice, the Code of Practice for Student Discipline, The Open University Student Computing policy, the Student Charter, Plagiarism policy, The Open University ethics principles for research involving human participants and other documents cited in this part of the handbook, apply to a student’s registration and can also be accessed via the Graduate School Network.

2.1 The student record and personal data

Personal identifier

Each student is provided with a ‘personal identifier’ (PI number) upon registration. Students will be asked to provide this unique PI number whenever they contact the University or complete any forms.

Change of personal details

If a student changes his/her name, address, phone number or email address s/he must tell the Research Degrees Office at The Open University in writing. Any change of name must be supported by appropriate documentary evidence such as:

- a marriage certificate
- a birth certificate, or
- a deed poll.

Updates to University records for transgender student transitioning to a different gender, may be made upon receipt of a letter explaining that the student intends to undergo gender reassignment. However, the University requires evidence of legal name change before a degree certificate in the preferred name can be issued. Evidence may be in the form of:

- a statutory declaration of name change
- a birth certificate in the new name, or
- a deed poll.

The data held by The Open University

The Open University is committed to the principles of data protection and best practice in handling information. All personal information is held in secure files and will be processed only for the purposes identified at registration.

Within the University information is transferred only to those who need it for the purposes of academic, administrative and support services. This includes sharing data with the Affiliated Research Centre research degree coordinator.

Some information will be sent to the Higher Education Statistics Agency (HESA). Information will also be provided to other statutory bodies on request.
Further information about the use of data can be in The Open University Data Protection policy published on the Graduate School Network.

Access to data

Students can access information about their research degree registration on StudentHome. Each student will be issued with a username and password following the acceptance of their registration.

Students have the right under the Data Protection Act 1998 to a copy of the personal data the University holds about them and to information about its processing. Requests can be made in writing to the Data Protection Coordinator (contact details are in Part 6). There is a small fee payable for this.

Information and advice on data protection

The University’s Data Protection policy is published on the Graduate School Network but note that the policy covers taught students as well as research students so not all of it is relevant.

For more information or advice about data protection matters, please ask the Data Protection Coordinator (contact details are in Part 6).

Freedom of information

The University has a publication scheme which gives a general right of public access to many types of information. The scheme can be accessed through the Freedom of Information website.

Information on the Freedom of Information Act 2000 can be obtained from the Information Commissioner.

2.2 Communicating with The Open University

How The Open University will communicate

The University keeps electronic records and will usually communicate with the ARC research degree coordinator about a student’s registration. During their studies students will be required to complete and submit forms electronically. The forms and guidance notes required are available on the Graduate School Network.

Where a document cannot be found or where clarification is needed regarding forms and/or guidance please, contact the Research Degrees Office at The Open University (contact details are in Part 6).

Giving feedback

The Open University welcomes feedback from students regarding their studies, registration, or life in general as an Open University research student. Any suggestions and ideas for improvements are also welcome. Where appropriate, the feedback will be used to make improvements to services for students. Please email the Research Degrees Office (contact details are in Part 6). Feedback can also be left on the Graduate School Network website. For specific concerns relating to studies, students should talk to their supervisors and/or third party monitor in the first instance and the ARC research degree coordinator. Students may
also speak to members of The Open University Research Degrees Office in confidence (contact details in Part 6).

**Appeals**

Students can appeal against administrative and academic decisions of the University. Please see Part 5 of this handbook.

**Complaints**

The University's own complaints procedure for research students is included in Part 5 of this handbook. Students should refer to it if they wish to complain about academic and administrative services provided by the University.

Each ARC is required to have a formal complaint procedure and make its details known to all research students. If a student makes a complaint about academic or administrative services offered through the ARC, the University's first concern is to ensure that the student has exhausted all the appropriate internal procedures at the ARC. If a problem still remains, the next concern is to seek a resolution that's fair to both the student and the ARC, and that preserves the standard of the University's research degrees. For more information contact the Research Degrees Office (contact details are in Part 6).

Students or others who want to call into question an approved ARC's fitness to provide suitable facilities for the conduct and supervision of research leading to a research degree can complain to the University. The University will act in accordance with the complaints procedure as set out in Part 5 of this handbook.

**Public interest disclosure**

The University has a statement setting out its policy on 'whistleblowing' (public interest disclosure). It offers guidance for students and staff who want to raise reasonable suspicions they may have about irregularities in the running of the University or about the activities of colleagues within the University. This statement is not intended to replace the University's other policies and procedures in relation to grievances, harassment, disciplinary matters or students' complaints.

The policy statement is intended to incorporate the requirements of the Public Interest Disclosure Act, January 1998, but is broader in two respects – it applies to students as well as to staff, and its scope goes beyond that of protected disclosure as defined in the Act. A copy of the statement can be downloaded from the Graduate School Network.

**2.3 University regulations and codes of practice**

*Regulations:*

The Research Degree Regulations are available at: www.open.ac.uk/students/charter/essential-documents/research-degree-regulations.

The Research degree regulations should not be read in isolation. It is important that they are read in conjunction with the University’s general regulations, such as the Code of Practice for Student Discipline, this handbook, and other relevant documents referred to both in the regulations and the handbook.

These documents are available through the Graduate School Network.

The Research Degree Regulations form part of a student’s contract with the University.
Any questions about the Research Degree Regulations should be addressed to The Open University Research Degrees Office.

Supervision:

The University’s Research Degree Regulations section 5, and Appendix 2, the Code of Practice for Supervisors and Research Students set out guidelines for the conduct of the relationship between supervisors and students and serve as a standard for external audits of research degree provision and research degree appeals.

The Open University Research Degrees Committee reviews the regulations, codes of practice and policies periodically and would welcome any comments. Please send them to the Research Degrees Office (contact details in Part 6).

2.4 Registration

In order to register as a student of the The Open University through the ARC programme a student is required to register with The Open University, and have a student agreement with the ARC, whether as an employee of the ARC undertaking a research degree, or undertaking a studentship at the ARC, or another appropriate arrangement which has been approved by The Open University (ARC Student Agreement).

If The Open University has accepted a student’s registration or continued registration and the ARC Student Agreement is terminated due to the student’s resignation from the ARC, or dismissal for misconduct, then the University may cancel the student’s Open University registration even if s/he has started studying or is continuing to study.

The forms and guidance notes for recording and managing registration are on the Graduate School Network.

Dates

All registrations begin on the first day of the month. Registrations can’t be backdated beyond the first day of the month in which the application was received.

Registration arrangements

On admission to the research degree programme, a student will be registered for the degree of Master of Philosophy (MPhil). Before the end of the probationary registration the student will be assessed and a recommendation made about continuing registration and specific degree registration to the Graduate School Director.

If probationary registration is confirmed, registration will continue until the submission deadline, provided the student maintains satisfactory academic progress and meets all fee liabilities.

Visas and UKVI

The Open University is a Tier 4 Sponsor with the UK Visas and Immigration (UKVI) and sponsors full-time students from outside the UK and EU to study in the UK on Tier 4 visas. Full details of the immigration regulations for students can be found at UKVI.

Tier 4 registrations through ARCs will only be approved if they comply with UKVI policy on partner institutions currently in place.

A student needing a Tier 4 visa will be required to demonstrate that s/he can meet the UK Visas and Immigration requirements.
In order to fulfil its responsibilities as a Tier 4 sponsor, the University needs the ARC to copy and verify the student’s passport and visa each year that s/he is registered as an Open University student. The ARC will also need to keep records of attendance and contact with staff. The student must tell the ARC research degrees coordinator immediately if his/her contact details change at any point during studies, if s/he is leaving the country or if s/he is going to be absent from studies for more than a week, including fieldwork or attendance at conferences. It is the ARC’s responsibility to pass this information directly to The Open University. The University will be keeping records of attendance/absence and monitoring to ensure compliance. These records will be made available to UKVI.

It is imperative that a student ensures s/he is compliant with the up-to-date requirements, as they may change from time to time. If a student needs to extend his/her student visa s/he should read the guidance notes and application form on the UKVI website very carefully, and then contact the ARC research degree coordinator at the earliest opportunity.

**Non-book components to theses**

A student intending to develop and submit a thesis with a non-book component in line with the Research Degree Regulations (RD 2.7 and 17.7 – 17.9) should prepare for this eventuality from the beginning of registration.

**Other study**

The University supports research students undertaking supplementary study related to their research degree. A student requires the approval of the Graduate School Director on the recommendation of the ARC research degree coordinator before registering for any additional study.

A student may not study for any other degree or qualification at The Open University or any other institution at the same time as undertaking research degree study.

**Study hours**

A full-time research student is required to spend a **minimum of 37 hours** a week on their studies.

A part-time student is expected to spend **at least 18.5 hours** a week on their studies.

Full-time students are expected to undertake a no more than six hours per week paid employment. Any paid work must be declared to the supervisors and the ARC research degree coordinator.

**Study periods**

Applicants registering through the Affiliated Research Centre programme may enter at points determined by their ARC within parameters approved by the University. Registered students will be given a ‘submission due date’ by which they must submit the thesis for examination. This date will be adjusted to take into account any periods of suspended registration or changes to mode of study.

The UK funding councils set the benchmarks for the timely completion of research degrees. The minimum and maximum permitted study periods permitted by the regulations are shown below.
Table 1: Minimum and maximum study periods permitted

<table>
<thead>
<tr>
<th>Qualification</th>
<th>Minimum</th>
<th>Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>Full-time students MPhil</td>
<td>15 months</td>
<td>48 months</td>
</tr>
<tr>
<td>Full-time students PhD</td>
<td>24 months</td>
<td>48 months</td>
</tr>
<tr>
<td>Part-time students MPhil</td>
<td>24 months</td>
<td>72 months</td>
</tr>
<tr>
<td>Part-time students PhD</td>
<td>36 months</td>
<td>72 months</td>
</tr>
</tbody>
</table>

In order to study for a degree, submit a thesis for examination and be awarded the degree a student must be a registered research student of the University. Should registration lapse prior to submission the student will be deemed to have withdrawn from the research degree programme. Any research or other work undertaken before registration as a research student cannot count as part of the minimum period of study required before the submission of a thesis for the award of a research degree and may not be included in the thesis.

Change of mode

Students may apply to the Graduate School Director for a change of mode of study from full time to part time or vice versa on the recommendation of their ARC research degree coordinator. In such cases the minimum and maximum registration periods will be calculated pro rata.

Probation

PhD students will initially be registered for the degree of Master of Philosophy (MPhil).

For full-time students the first year of registration is considered the probation period. For part-time students the first two years are the probation period.

For more information on probation, please see 'Key milestones' in Part 3.

Absences and other disruptions

A student must inform his/her supervisors and the ARC research degrees coordinator if his/her studies are disrupted for any reason. Supervisors should notify the ARC research degree coordinator immediately of any unauthorised absences, or if they have concerns about disruptions to attendance or progress.

Students who have entered the UK on a Tier 4 visa sponsored by The Open University, who fail to comply with this requirement may have their sponsorship withdrawn.

Holidays

Holiday entitlements are determined by the ARC. Students who have entered the UK on a Tier 4 visa sponsored by The Open University, must comply with the reporting requirements as outlined in the UKVI Tier 4 Responsibilities document signed as part of the acceptance of registration.

Suspending registration

An Affiliated Research Centre may submit a request for suspension of a student’s registration to the Graduate School Director for consideration. Suspension of registration is not an automatic right. Any request must be submitted together with the supporting evidence. Where possible such requests should be made in advance. Where this is not possible the
Affiliated Research Centre Research Degree Coordinator should, as soon as they become aware of an incident that is likely to lead to the submission of a request for suspension, inform the Research Degrees Office. The completed paper work should be submitted as soon as possible thereafter. Overly late requests will not be considered.

A full-time student may apply for a suspension to his/her registration for a maximum of 12 months in total on the following grounds:

- a) Certified serious ill health of the student or a family member or dependent for whom the student is acting as a carer.
- b) Internship or placement.

A part-time student may request a suspension to his/her registration, for a maximum of twenty four months in total on the following grounds:

- a) Certified serious ill health of the student or a family member or dependent for whom the student is acting as a carer.
- b) Work related difficulties.
- c) Domestic commitments.
- d) Internship or placement.

Students requesting a suspension after the submission of their thesis may only do so in exceptional circumstances.

Students wishing to suspend their registration on the grounds of ill health, must provide a medical certificate.

Students requiring longer than the maximum permitted period of suspended registration will have to withdraw from their programme of study.

Periods of suspension, do not count towards the maximum permitted periods of study. If suspended registration is approved the submission deadline will be extended accordingly and the University will confirm the revised deadline in writing.

Upon return students will be required to have a ‘Return to Study’ meeting with their supervisor(s). Fee liability will remain during any period of suspension for a period shorter than 12 consecutive months.

Students who have entered the UK on a Tier 4 visa sponsored by The Open University, who are considering suspending registration, should consult the ARC research degree coordinator as it may affect the terms and conditions relating to their leave to remain in the UK.

Maternity, paternity and adoption leave

Entitlements to maternity, paternity, shared and adoption leave are determined by the ARC, subject to local legislation, and are additional to the standard suspended registration entitlement set out above.

Students who have entered the UK on a Tier 4 visa sponsored by The Open University, who are considering taking maternity, paternity, shared or adoption leave, should consult the ARC research degree coordinator as it may affect the terms and conditions relating to their leave to remain in the UK.
Extensions

Exceptionally, the Graduate School Director may consider requests for an extension to the maximum periods of study if they are supported by the supervisors and ARC research degree coordinator. The ARC research degree coordinator will need to submit a case to the Research Degrees Office with a plan for completion of the outstanding work. The length of time requested should be realistic as further extensions are unlikely to be granted. The maximum extension to registration regardless of mode of study is 12 months.

Withdrawal

Students who are thinking about withdrawing from the programme should contact their supervisors in the first instance to discuss options.

Where a student decides to withdraw from the programme the ARC will need to submit a withdrawal form to the Research Degrees Office.

Students who allow their registration to lapse without having submitted their thesis, or fail to return from a period of suspension will be deemed to have withdrawn from their research degree registration.

The fees for the year are not refundable. The date of withdrawal is usually the date certified on the form by the ARC.

Research degrees are not credit-bearing. No staged or incremental credit will be awarded.

Assignment of intellectual property rights (IPRs)

Intellectual Property Law aims to safeguard IP creators by warranting them certain time-limited rights to control and use their creations. IPRs can be registered, in the form of, designs (registered and unregistered), patents and trademarks.

The distinction between the four categories is defined below:

- **Copyright**: protects material such as literature, art, music, sound recordings, films and broadcasts and computer programmes; it is an automatic right which does not need registration at the Intellectual Property Office.
- **Designs**: protect the visual appearance or eye appeal of products.
- **Patents**: protect the technical and functional aspects of products and processes.
- **Trademarks**: protect signs that can distinguish the goods and services of one trader from those of another.

Whilst Copyright is protected on creation, designs, patents and trademarks need to be registered at a national Intellectual Property Office.

Registered and un-registered IPRs are an important tool in using and exploiting research activities, as they can be transferred, sold, assigned or waived, allowing others to use the work but at the same time protecting the creators.

Postgraduate research student IP policy

Research students are required to assign any IPRs arising from their research to The Open University, unless there is a prior arrangement in which IP is assigned to the ARC. This must be declared on the student's application form.
Where Intellectual Property is assigned to The Open University

This includes: original inventions, design rights and copyright in all materials (written, broadcast, recorded, computer programs, research information on Open University websites, iTunes, videos and any other form of storage device) produced during the course of an Open University studentship or in work specifically assigned to a student.

Research IP that is excluded is as follows:

- copyright in books that are not part of a University course
- copyright in journal articles and thesis work
- copyright in conference papers
- copyright in presentations
- students’ personal notes (excluding laboratory notebooks)
- copyright in software, databases and questionnaires is owned by The Open University and should not be included in research papers / books, where the intention is to assign IP rights to a third party (i.e. publisher). Contact the Enterprise Office before any action is taken.

If the research is sponsored by a third party at the start of any collaboration, contractual arrangements must be in place to define the The Open University’s position on research IPR’s before work commences. Such arrangement might involve third party ownership of IP arising from the research, and therefore students must assign the IP to The Open University so that it can comply with such terms.

For more information about IP with (regards to research only). Contact the Research and Enterprise Office. Contact details can be found in Part 6.

Copyrighted material owned by a third party

It is responsibility of the student to ensure any copyrighted material owned by a third party has been cleared (i.e. permission to make it available on open access websites secured) prior to depositing the thesis in Open Research Online. More information on third party copyright can be found at Open Research Online help.

2.5 Fees

Agreement to pay fees

Upon registration, a student of the University agrees to pay the fees and other charges which are due in respect of his/her studies. The fee is payable on initial registration as a single payment and then, in advance, on 1 October each year. It is payable every year or part-year throughout registration, up to and including the year in which the student submits his/her thesis for examination.

The fee due is shown in the offer letter. This fee may be subject to an annual increase. The amount is the same for full-time and part-time students. A list of the current fees is sent to the ARC research degree coordinators every year and available on the Graduate School Network.

The ARC annual student fee constitutes the University registration fee, which covers the student’s registration with The Open University. Please note that in addition to the University registration fee payable to the The Open University, the student may have to meet additional local costs of study, as determined by the ARC Student Agreement.
If the student registers part-way through the academic year, s/he will be liable for a proportion of the University registration fee as follows:

**Table 2 Fee in year of registration**

<table>
<thead>
<tr>
<th>Period of registration</th>
<th>Fee liability as a percentage of the University registration fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 October – 31 December</td>
<td>100%</td>
</tr>
<tr>
<td>1 January – 31 March</td>
<td>75%</td>
</tr>
<tr>
<td>1 April – 30 June</td>
<td>50%</td>
</tr>
<tr>
<td>1 July – 30 September</td>
<td>25%</td>
</tr>
</tbody>
</table>

In each year of continuing registration, the student will be liable for the whole year’s University registration fee and s/he should pay this within 30 days of receiving the invoice even if s/he is intending to submit his/her thesis part-way through the academic year.

A student who submit his/her thesis part-way through an academic year, may be entitled to a proportional reimbursement of the University registration fee as indicated in the following table.

**Table 3 Proportional fee reimbursement**

<table>
<thead>
<tr>
<th>Period of submission</th>
<th>Refund as a percentage of the University registration fee</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 October – 31 December</td>
<td>75%</td>
</tr>
<tr>
<td>1 January – 31 March</td>
<td>50%</td>
</tr>
<tr>
<td>1 April – 30 June</td>
<td>25%</td>
</tr>
<tr>
<td>1 July – 30 September</td>
<td>No fee reimbursement</td>
</tr>
</tbody>
</table>

S/he will only be eligible for reimbursement if:

a. the Research Degrees Office has received a satisfactorily completed Candidate Declaration form within one month of the stated thesis submission date (otherwise the University registration fee will be reimbursed at the rate relevant to the quarter in which the completed Candidate Declaration form was received); and

b. s/he has been invoiced for the full year’s University registration fee for that academic year.

The University registration fee is not refundable to students who withdraw from registration.

**Fee liability during periods of suspended registration**

The student is liable to pay the University registration fee unless University approval has been obtained to suspend his/her studies for a period of more than twelve consecutive months. There is no reduction for a period of less than 12 consecutive months (see **Section 2.4**).

**Resubmission fee**

If the student submitted his/her original thesis at the end of an academic year, or submitted it part-way through the academic year and received a proportional fee reimbursement, and
following examination s/he is registered to resubmit the thesis for re-examination following major revision, s/he will be required to pay the University registration fee, after notification of the result and until s/he resubmits the revised thesis for re-examination. Students who are awarded a degree subject to corrections and modifications or substantial amendment but are not required to resubmit for re-examination, will not be liable for further fees.

Responsibility for payment

The student is responsible to The Open University for his/her Open University registration fee. The method of payment is for the student to pay the ARC and for the ARC to forward the payment to The Open University. The method of payment and the arrangements for collecting it will be specified in the ARC Student Agreement.

If the ARC fails to send the student’s University registration fee to The Open University

If the ARC does not send the student’s University registration fee to The Open University by the date the payment is due, the student remains liable for the University registration fee and the University may cancel the student’s registration, even if the student has started studying or is continuing to study.

NOTE: If a student is experiencing difficulties with payment of fees, s/he should contact the Research Degrees Office to find out if arrangements can be made to help safeguard his/her registration.

If his/her registration is cancelled for this reason, it will be reinstated in the following circumstances:

i if the student contacts the University within 7 days of the date of cancellation with an undertaking to provide payment and providing payment within 5 working days of their initial contact; or

ii if the student contacts the University more than 7 days after the date of cancellation and less than 28 days undertaking to provide payment and providing payment within 5 working days of the initial contact, and

- the ARC records that it has provided the student with advice on the impact any gap in studies may have on the student’s academic progress, and
- the University records that it has provided the student with advice on the impact any gap in studies may have on the student’s registration, and
- the student confirms that s/he has received that advice and wishes to be reinstated.

If a student’s registration is cancelled for this reason, s/he will remain personally liable to the University for all of the fees which are due by the date of the cancellation. If the student does not pay those fees s/he will be in debt to the University.

Liability for charges other than fees

If a student is required to pay any other charges in connection with his/her studies (for example residential weekends, library charges) and s/he does not pay those charges when they become due, s/he may not be provided with the services or facilities to which those charges relate. If, at the University’s discretion, the services or facilities are provided and the charges remain unpaid, s/he will be in debt to the University.
What The Open University will do if a student is in debt to the University

Restriction on further study

The student will only be permitted to undertake further study for which any further annual registration fee or other charges may become due if the student pays in advance or has in place some other secured means of payment for those tuition fees or charges, which we the University has accepted.

Action to recover debt

If the student does not pay outstanding fees or other charges, including any further fees and other charges that may fall due, the University may take all reasonable steps including legal action to recover those fees and other charges from the student.

Before doing this the University will:

a. Notify the student of what action s/he may take, if appropriate, to limit any liability for further fees and other charges that may become due and give the student a reasonable opportunity to take that action before any further liability will be incurred;

b. Notify the student that s/he is in debt, the amount of the debt and how s/he may pay that debt, and give the student a reasonable opportunity to pay any outstanding fees or other charges before taking any further action against the student;

Discretion to postpone action

If the University continues, at its discretion, to provide academic oversight of the student's registration, tuition, facilities or services or allows further study by a student who is in debt to the University, the University reserves the right to take the actions shown above at a later date.

2.6 Financial support

Crowther Fund (The Open University graduates only)

The Crowther Fund was set up as a tribute to the late Lord Crowther, the first Chancellor of The Open University. It is intended to help The Open University graduates build upon their The Open University degrees, either by formal study or research or by generally broadening their experience through a period of voluntary work.

The closing date for award applications is 28 February each year.

Further details on eligibility criteria students can download an application form and guidance notes from: www.open.ac.uk/postgraduate/fees-and-funding/ou-funding.

Bevvers Fund

Additional funds were also donated by the late Dr Robert Beevers, one of The Open University’s ‘founding fathers’. Open University honours graduates, can apply for funding to undertake research towards an Open University research degree from the Robert Beevers Memorial Fund. An award from this fund can be used for payment towards fees or study related costs such as books or travel.

The fund is administered via The Crowther Fund and for further information see: www.open.ac.uk/postgraduate/fees-and-funding/ou-funding.
The closing date for award applications is 28 February each year.

**Disabled Students' Allowances**

Disabled Students’ Allowances (DSAs) are government grants for students in higher education who are obliged to incur additional study-related costs because of a disability, mental health condition or specific learning difficulty.

DSAs are not means tested and are not dependent upon income or any savings that the student may have. If a student is eligible for a DSA it will not affect any state benefits the student may be receiving. A student may still be eligible for a DSA if s/he is studying part time.

Where a student gets their DSA from will depend on several factors including where the student lives, when they started their qualification and whether they've had DSA support before.

A student can find out whether s/he is eligible for a DSA and find out where to apply by visiting [disability support information](#).

**Students in Scotland**

To determine eligibility and to apply for a DSA students based in Scotland should either:

- email: scotland@open.ac.uk or
- phone the University's Disability and Additional Requirements Team on +44 (0)131 226 3851 (Monday to Friday 08:30 to 17:00 GMT).
Part 3 Studying for a research degree

This section contains information about the key milestones in research degree registration and the academic support available. It provides guidance on sources of support and guidance.

3.1 Key milestones

![Key milestones during registration]

**Key milestones during registration**

Registration as an Open University research degree student within the ARC programme begins once the student has accepted the formal offer of registration from the Open University, on the date specified in that letter.

The ARC will induct the student into research student life, skills development opportunities, and introduce him/her to his/her research group.

Before starting a research degree, and at regular points in a student’s studies, s/he should with the help of the supervisors consider his/her training needs. Students will be asked to conduct a skills audit as part of induction. Students can register for the ViTAE Researcher Development Framework planner through the Graduate School Network to assist with this process. The ARC will provide research and transferable skills training. The Graduate School Network has a wealth of supplementary on-line skills training resources.

When a student first registers, his/her supervisors will discuss day-to-day arrangements with him/her, including supervision meetings and key study milestones. The arrangements for assessing the probation period are given below. Progress will be formally assessed by The Open University in accordance with the regulations. ARC arrangements for monitoring progress will be communicated to students and supervisors by the research degree coordinator. If any changes are made to the registration, such as a period of suspended registration, the Research Degrees Office will notify the research degree coordinator of the revised deadline.

When writing the thesis students are strongly encouraged to refer to the criteria for the award of the degree. The general criteria for the assessment of a MPhil and a PhD align with the Frameworks for Higher Education Qualifications. This can be found in Appendix 1 of the Research Degree Regulations.

The thesis must be submitted for examination prior to the maximum registration date.

Details of all of these processes can be found in the following sections.

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3.2 Induction
The ARC will organise student induction programmes.

3.3 Supervision
Each research degree student in an ARC has at least two and usually not more than three supervisors. One supervisor, the director of studies has the responsibility for day to day supervision.

Supervisors report regularly on their students’ work. It is on the basis of these reports that the University continues registration. Students who have not made satisfactory progress may have their registration terminated. The number of meetings a student has with his/her supervisors will depend on the nature

Full-time students should have a minimum of ten formal supervision meetings in an academic year. Part-time students should have five such formal supervision meetings as a minimum in an academic year. Supervisors and students discuss the direction of the research project and the amount and nature of communication (meetings, correspondence, email and phone) at their first meeting. Students are expected to agree outcomes of formal meetings with their supervisors and keep a written record.

The nature of the work the supervisors require of the student will depend on the research project. Regular written reports and draft thesis chapters may be specified, or a student may spend a considerable period at the beginning of the project on a preliminary reading programme and literature survey before producing a significant piece of written work.

Further information about what a student can expect from the supervisor and what the supervisor can expect from the student can be found in Appendix 2 of the Research Degree Regulations.

3.4 Third-party monitoring
The student should have an opportunity at least once a year to discuss his/her studies with a third party – someone other than the supervisors or research degree coordinator. Within The Open University research degree programme, this opportunity is formalised through the appointment of a third party monitor by the ARC research degree coordinator. The role of the third party monitor is to provide the student with independent support. The student should feel free to discuss with his/her third-party monitor, in confidence, any matters that might affect his/her studies. Any records kept should be agreed by both parties.

Students should be told the name and contact details of the third-party monitor during the first month of registration. The first third-party monitoring session should take place no later than the fifth month of registration. Third-party monitoring should then take place at least annually in the first quarter of each calendar year.

The Research Degrees Office can provide advice about third-party monitoring upon request. Contact details are in Part 6.
3.5 Probation assessment

All research degree students are required to undertake a probation assessment before their PhD or MPhil registration can be confirmed and they can progress to a second (full-time) / third (part-time) year of study. Students and supervisors work together to plan and carry out the probation assessment.

Before a student reaches probation assessment s/he must ensure that any ethics review required for the project is completed. For more information see Part 4.

The University requires a detailed report that reviews the project and plans, assesses skills development and makes a recommendation about degree registration. Students are also required to give details of their skills audit and project development, give an oral presentation and to undertake a ‘mini viva’ as part of the probation assessment. The deadline for the completion of the probation process are as follows:

- Full-time student within 12 months of the initial date of registration
- Part-time student within 24 months of the initial date of registration.

It is therefore imperative that the reports reach the Research Degrees Office in time for the process to be completed (i.e. 11 months for full-time students and 23 months for part-time students). The Research Degrees Office does not have the authority to accept late submissions. Any extension can only be granted in exceptional circumstances and requires prior approval of the Graduate School Director.

The criteria for the probation assessment are outlined in detail in Research Degree Regulation RD15.3 - 15.4 for MPhil students and RD15.6 – 15.7 for PhD students.

The arrangements for probation assessment are made by the ARC.

Based on the evidence obtained at probation assessment the outcome will be one of the following:

- registration for the degree of Master of Philosophy (MPhil); or
- registration for the degree of Doctor of Philosophy (PhD); or
- probation should be extended to enable the student to complete any remedial work \(^3\) (extensions should not exceed two months beyond the end of the first year for full-time students or four months beyond the end of the second year for part-time students) probation; or
- registration should be terminated due to failure to make satisfactory academic progress.

If registration is confirmed following probation assessment, registration will continue until the submission deadline. This is subject to the student making progress to the satisfaction of the supervisors, the ARC and the Graduate School Director. The student must also comply with any non-academic conditions of registration, including payment of fees.

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\(^3\) Remedial work will be reviewed. Further registration will depend on it having been completed to the satisfaction of the assessors and ARC research degree coordinator and confirmed by the Graduate School Director.
If a student does not pass probation, s/he may decide to withdraw, or the supervisors may recommend deregistration.

3.6 Progress monitoring

Progress monitoring reports are required for all MPhil/PhD research students. The ARC determines the frequency of the reporting, normally this would be expected to take place at least twice per year. The progress reports should be submitted by the ARC to the Research Degrees Office on an annual basis and should encompass a student’s progress throughout the previous year. A student is expected to have a meeting with his/her full supervision team to discuss the progress of his/her research during the period immediately before the report is prepared. If there are any concerns about a student’s progress s/he will be given an opportunity by the University to get back on track. The supervisors and the student should plan any remedial action. Please refer to the Procedures for addressing failure to make satisfactory academic progress, Appendix 3 of the Research Degree Regulations.

Progress monitoring gives an opportunity for a student and his/her supervisors to review his/her work and plan for the next period. Progress reports are a key piece of evidence that a student is progressing well and it is a condition of registration that they are completed. Based on the content of the progress report, the ARC will make a recommendation to The Open University about continuing registration.

3.7 Examination

The Research Degree Regulations provide detailed information as to what is required during the examination process. Additional information is provided in The Open University Thesis Submission Guidelines and the examination guidelines which are available on the Graduate School Network.

Students and supervisors should read the regulations and the guidelines carefully. These include information on publication of papers, originality and joint work, the length and format of the thesis and non-book components.

A student must give the ARC Research Degree Coordinator at least three months’ notice of intention to submit the thesis for examination. This is to allow sufficient time to appoint examiners and make the practical arrangements for the examination. The student should also confirm the title of the thesis at this point and let the ARC Research Degree Coordinator know if any adjustments are required on the day to accommodate a disability or additional need.

Theses can be submitted at any time during the year, although those submitted during the summer may be subject to some delay if examiners aren’t available for oral examinations.

When the notice is received, ARC Research Degree Coordinator, in consultation with the supervisors, will nominate an examination panel for approval by the Research Degrees Committee. A minimum of two examiners is required. An Independent Examination Panel Chair will also be appointed. It is his/her role to make the examination arrangements and to ensure that the examination is run in accordance with the University’s regulations, policies and procedures. Once the examination arrangements are finalised confirmation of the date, time and place of the viva will be communicated to all relevant stakeholders by the ARC.

The student is required to attend the examination in person and defend the thesis to the examiners. A supervisor may be present as an observer at the oral examination. However the invitation to attend is at the student’s discretion.
Plagiarism

Plagiarism is using the work, this includes text, images and ideas, of other people to gain some form of benefit without formally acknowledging that the work came from someone else. The Open University takes allegations of plagiarism very seriously and is making increasing use of plagiarism detection software.

It is expected that a student develop and maintain best practice in his/her writing throughout the research. In cases of suspected plagiarism the University will follow the Procedure of dealing with allegations of academic misconduct and malpractice.

Should a case of plagiarism be proven, this is a serious offence and The Open University disciplinary procedures will be followed.

The plagiarism policy and the disciplinary procedures can be found in the forms and guidance section on the Graduate School Network.

The student will be asked to acknowledge a statement to confirm that all the work submitted is his/her own before submitting the thesis for examination.

Results

The examiners will normally advise the student of the recommendation at the end of the examination. At this stage the outcome is only a recommendation. The recommendation must be approved by the Research Degrees Examination Results Approval Committee. At this point the Committee will confirm the outcome of the examination in writing.

Where further amendments are needed in order to meet the academic requirements of the award the student will be informed in writing what these amendments are. Students will continue to receive advice from supervisors during the corrections period. For more information consult the Research Degree Regulations.

Only one resubmission of a revised thesis for re-examination is permitted.

Award of degrees

If no corrections are required, the degree will be awarded with effect from the date the examiners’ recommendation is approved by the University. If corrections are required, the date of the award will correspond to the date the examiners approve the amended thesis.

When the Research Degrees Examination Results Approval Committee confirms that the thesis meets the requirements for the award of a degree, the student must submit copies (see The Open University Thesis Submission Guideline) before the degree can be conferred. The degree and title of Doctor or Master of Philosophy are conferred in absentia by Congregation. The student will receive confirmation in writing of completion of the degree. At this point the student may use the title ‘Dr’ if awarded a Doctor of Philosophy.

3.8 Degree ceremonies

The University holds an annual series of degree ceremonies for the presentation of graduates. Most of these are held in March–June and in September–November in towns and cities across the UK. One ceremony is held in mainland Europe. Graduates are presented to the Chancellor, the Pro-Chancellor, the Vice-Chancellor, one of the Pro-Vice-Chancellors or Executive Deans. The student may, if s/he wishes, be presented at one of these degree ceremonies. They are always memorable and inspiring occasions. Details will be sent to the student when s/he becomes eligible.
More information about degree ceremonies can be obtained from the Ceremonies website or by contacting the Ceremonies Centre. Contact details are in Part 6. Information about the accessibility of each venue and hiring academic dress at the ceremony is also available on the ceremonies website or by contacting the Ceremonies Centre.

3.9 Directory of graduates

Names (but not addresses) of graduates are printed in an official Directory of Graduates, with the degree awarded. The directory is produced each autumn, and includes all graduates whose qualifications have been conferred in the preceding twelve months. Abridged lists are produced for the presentation of graduates at each degree ceremony. The directory itself and the lists are all public documents. The University may also release names (but not addresses) of graduates, to be printed in national or regional newspapers and other publications.

A student who does not wish his/her name to appear in any of these publications must tell the University by writing to the Manager in the Ceremonies Centre.

Unless such notification is received the University will consider that it has consent to include a student's name.

3.10 What a student should do if they need help

Throughout a student’s studies, the University strives to give the best support and guidance, to help him/her towards successful completion of the degree.

The University understands that sometimes a student may fall behind or have unexpected disruptions to his/her studies. Illness, bereavement, changes in domestic circumstances and financial difficulties can all impact on ability to study. A student may also find that the project is not progressing as well as hoped, or something has gone wrong with the data collection.

Whatever the reason, a student should talk to his/her supervisor or third party monitor about the difficulties. The supervisor(s)/third party monitor will help the student to find a way through the immediate problem and can sometimes renegotiate deadlines or ask for a period of suspended registration. The student should also address concerns to the ARC Research Degree Coordinator.

If a student needs help because a process has gone wrong in their ARC, s/he should refer to his/her research degree coordinator and if necessary the ARC’s student complaints procedure in the first instance. If s/he is unable to resolve the complaint in the ARC s/he may refer the complaint to The Open University in accordance with the procedure described in Part 5.

If a student wishes to complain about a service provided by The Open University in relation to his/her research degree registration or wishes to appeal against an academic or administrative decision, please see Part 5 of this handbook covering appeals and complaints.

The University is committed to providing a high-quality service and will seek to resolve any problems as quickly as possible. The University considers all feedback to be an important source of information for improving services.

Students who need or wish to may contact the Research Degrees Office directly. Contact details can be found in Part 6.
Part 4 Facilities and services

How to access the research facilities and support services available to an ARC student.

4.1 The Research Degrees Office

The Research Degrees Office is responsible for the administration of the ARC programme and for implementing policy developed by the Research Degrees Committee. The Research Degrees Office is the main point of contact for the research degree coordinator in the ARC.

Its main areas of activity are arranging academic approval of:

- registration of research students
- supervision arrangements
- research students' progress (the annual progress monitoring exercise and the probation assessment reports)
- research degree examination panels
- research degree examination results. This includes advising the Qualifications Centre that the research degree can be awarded. The Research Degrees Office working with the Academic Strategy Office also provide administrative support for the quality assurance framework for the research degree programme delivered in partnership with Affiliated Research Centres.

The Research Degrees Office can be contacted for matters that the research degree coordinator in the ARC is unable to answer. It can also refer queries to other parts of the University if necessary.

The Research Degrees Office, working with Graduate School colleagues, plays a major role in events, projects and initiatives to enhance the quality of the University’s research degree provision and the experience of its research students. It welcomes suggestions and comments about its role and how it can help to improve the quality of Open University research degree study. Contact details are in Part 6 of this handbook.

4.2 Research facilities

Laboratory, computing and library needs must be explored when preparing the degree proposal, and the application should clearly demonstrate how the research needs will be met. The expectation is that the student will be using facilities provided by the ARC. The application should demonstrate that adequate access to facilities is granted so that the proposed research project can be completed. The University does not provide laboratory or computing facilities for students registered through an ARC.

4.3 Library facilities

Open University research students will need to make use of library resources as part of the research project. Whilst ARCs will be expected to provide adequate library resources for research students, in addition, Library Services at The Open University provides students with access to high quality and trusted resources that will support the research by enabling the student to explore and keep up-to-date with the subject and undertake a structured literature review.
They include primary and secondary resources such as:

- academic journals
- newspapers
- academic books.

The resources also include specialist resources, e.g. statistical databases, legislation, conference proceedings and datasets.

The Open University Library’s collection of resources is available from the online library.

All research students can take advantage of the document delivery service which provides access to items that are not available through Library Services’ wide range of online resources and print materials held within the Library.

More information about bibliographic management software and the benefits of using it can be found on the Library’s website.

Library Services offers a programme of online training sessions, including an introduction to Library Services and an introduction to reference management tools. There is also a programme of face-to-face training including getting to grips with research data management, social media for scholarly communications and networking. Details can be found via the Graduate School Network.

The Open University’s open-access database of peer-reviewed research publications, Open Research Online (ORO), shows the range and depth of recently published Open University research. Research students are required to upload an electronic copy of their thesis to ORO and are encouraged to deposit their publications.

The Library Services Research Support team can give advice and guidance on where to publish, and dissemination of publications.

Once a student has started studies s/he may wish to view examples of other theses. EThOS, a service from the British Library, provides free online access to a large selection of UK PhD theses from participating universities. There is also access to some Open University-awarded theses via ORO.

These will give an insight into the structure of theses, different approaches to research, and how to develop a thesis. Library Services also holds a selection of print theses which can be borrowed from The Open University Library in Milton Keynes when visited in person.

More information about the library services and resources available for research students can be found on the Library page of the Graduate School Network.

Using the Library at Walton Hall

The Open University Library provides a modern, spacious environment for study, research and collaboration.

Access to other libraries

Library Services is a member of SCONUL Access which enables access to, and borrowing of, books at other libraries which belong to the scheme. The scheme covers most of the university libraries in the UK and some in Ireland. The Libraries near you webpage also give details of access to other libraries.

All Open University research students are entitled to apply for a free pass to use the British Library’s St Pancras, London reading rooms.
Managing research data

Doctorate projects can create large amounts of research data, and this may be the student’s first experience of working with data on a large scale. The Library’s Research data management service can help a student plan for the management of the data throughout the project and once it’s complete; the Library offers an enquiry service and training on all aspects of data management, and ORDO (Open Research Data Online) a data storage service for secure storage of both live research data and material the student wants to keep available for the longer term.

Getting help

The Library Helpdesk, which provides specialist information advice, is available to support students with all library needs, seven days a week. The helpdesk contact details can be found on every page of the Library website. An out-of-hours webchat service is also provided to support students when the main helpdesk is closed.

For enquiries about Open Research Online and Research Data Management please contact the library research support team: library-research-support@open.ac.uk

Conditions for use of Library facilities

Access to the University’s Library Services described above requires students to be bound by a copyright declaration.

4.4 Computers

Once a student has been registered s/he will be given an Open University computer username (OUCU) and a pre-set password that allows him/her to access some OU systems. When a student first signs on to the systems s/he will be asked to change the pre-set password. It is his/her responsibility to keep the password secure and confidential. In particular the student must:

- never tell anyone else the password, even University staff
- use the password in line with accepted best practice. Advice on safe and secure computing is given in the Help Centre.

If the student thinks that someone else knows the password tell The Open University Computing Helpdesk by phoning +44 (0)1908 653972.

Students are expected to comply with the University’s Computing Code of Conduct, which is published on the Graduate School Network.

4.5 Statistics Advisory Service

Advice about statistical aspects of research is available to all registered Open University research students from the Statistics Advisory Service. A major aim of the service is to encourage students to learn to deal with the statistical aspects of his/her research effectively and efficiently, by providing statistical advice both at the planning and at the data analysis stages of research. At the planning stage, the statistics advisory service can help to:

- Ensure that the research study is efficient from the point of data collection through to completion and ensures that the design enables the student to address the research objectives.
• Identify best practices for data management prior to the start of data collection.

At the data analysis stage, the service can help to:

• Identify suitable statistical methods to properly address the research objectives.
• Understand outputs from statistical computing software.
• Ensure that evidence-based conclusions can be drawn from the analysis.
• Students are advised to seek statistical advice as early as possible, preferably while planning the study and before collecting the data. Please visit the Statistics Advisory Service website for details or email: stats-advisory@open.ac.uk

4.6 Health and safety

Students undertaking laboratory work outside of the University will be bound by the Safety Code of the host institution. It is the ARC’s responsibility to make students fully aware of the ARC’s Health and Safety policies and protocols at registration or shortly thereafter.

The health and safety of all persons on-site and off-site, for the purposes of field work for example, is of paramount importance. To comply with legislative requirements, the work the student will be undertaking in laboratories must be risk assessed in accordance with legislation currently in force.

The risk assessment must be completed by the supervisor prior to any work commencing.

Students must be informed of any significant risks which have been identified, what controls have been put in place and any specific instructions/procedures s/he must follow whilst working in the laboratory to prevent causing harm to him/herself or others.

Any questions concerning safety whilst working, should be addressed to the supervisor immediately.

Students and supervisors whether based inside or outside the UK, must make sure that they are aware of, and adhere to, the health and safety legislation in force in that country. Students should consult the supervisor and the ARC research degrees coordinator if unsure about how Health and Safety applies to his/her place of study.

4.7 Ethics review

All research involving the collection of data or biological samples from human participants and research with non-human animals requires ethics review, with the exception of research where ‘any reasonable judgement would suggest that no harm could arise to any person, living or dead’. If a student plans to gather data or tissue material from human participants as part of the research project, s/he should discuss the ethics aspects with his/her supervisors at an early stage and where required ensure that well prepared applications are made at appropriate stages. Applications for ethics approval need to be endorsed by the director of studies and the ARC research degree coordinator.

Gaining a favourable opinion from an ethics committee on the ethics protocol for a research project is important as a means of protecting participants, researchers, supervisors, the institution and any funding bodies, and minimising the likelihood of legal action being taken against any parties involved.

Students at ARCs should obtain ethics approval from the appropriate ethics committee within their ARC, or workplace if applicable to the research to be undertaken. If there is no ethics
committee at the ARC, the student must gain ethics approval from The Open University Human Research Ethics Committee.

Any research involving NHS premises, staff or patients will also be likely to require review in the National Research Ethics Service as well as agreement from the NHS locale(s) in which the research is to be conducted. This is often a lengthy process so the student should allow sufficient time in the project plan to complete this stage. The Open University is recognised as a sponsor for this type of research and a favourable opinion from the HREC can help to provide support for NRES reviews.

4.8 Indemnity insurance

The Open University does not have indemnity insurance for ARC students carrying out research related to their studies. If a student requires indemnity cover (e.g. to fulfil the conditions of an ethics committee), s/he will need to arrange this him/herself or ensure that it is available through the ARC. An appropriate risk assessment should be carried out for all off-site field work.

4.9 Studying with a disability or additional need

The Open University is creating an inclusive University community and welcomes as research student’s people with disabilities or who have other requirements.

Students should contact the ARC research degrees coordinator for further information about the services available, or if a student has any concerns about how the disability or additional requirements may affect his/her ability to study. Provision from the ARC may vary according to the law of the land in which the student is studying.

If the student is in a UK-based ARC s/he may be in a position to benefit from a Disabled Students’ Allowance (DSA) to help with extra study-related costs that arise as a direct result of the disability (see Section 2.5 for further details).

Students are encouraged, no matter what the circumstances, to tell the research degree coordinator as early as possible if s/he needs support.

4.10 Professional development as a researcher

Research skills development

The Vitae Researcher Development Framework endorsed by Research Councils UK, informs The Open University’s career development programme for research degree students. Vitae is an international programme dedicated to active career learning and development for researchers. The employability lens for the Researcher Development Framework provides an overview of the knowledge, behaviours and attributes most frequently desired by employers. Students, as early career researchers, can expect to acquire these over the lifetime of their research degree programme.

Professional development resources provided by The Open University are intended to support and supplement the research skills training available in the ARC.

The Graduate School Network will be the gateway to the University’s online training and development resources. Students will be given login details upon registration.

It provides tools and learning resources to help students identify and tailor training requirements, covering a variety of generic research skills. A student can use these materials
independently and in individual training sessions with his/her supervisors. Students should discuss his/her training needs with supervisors at the beginning of studies. The Vitae Research Development Framework Planner is licensed for use by all Open University research degree students. It supports skills audit at the beginning of registration, to help a student to identify his/her training needs. It enables a student to monitor his/her skills development, and provides a progress file to enable him/her to log all the skills s/he has acquired through the course of the degree. More information can be found at Researcher development framework.

All the above resources and more can be found on the Graduate School Network. Further resources are available through the Vitae website.

The Research Career Development Team at The Open University delivers a programme of face-to-face events aimed at enhancing research capabilities. Further details are available at the Graduate School Network. A student wishing to attend any of these events should discuss this with his/her supervisors and the ARC research degrees coordinator.

Career advice

Students may access advice on all aspects of career planning and development via The Open University Careers and Employability Service.

Open University research students are able to access the careers section on the Graduate School Network for advice on:

- enhancing employability
- finding employment after a PhD
- CVs for PhD researchers
- applying for jobs in academia
- academic job interviews
- resources for PhD researchers.

Plus information on Knowledge Transfer Partnerships and internships.

Open University research students can contact the Research Career Development Team to request a one-to-one careers appointment. Contact details are in Part 6.

As a member of The Open University research student community in an ARC, there are many opportunities to build networks and professional relationships. A student will normally join an established research group. This ensures that a student is placed within an appropriate, supportive environment from which to build and establish his/her identity as an independent researcher.

4.11 Participating in Open University life

The Open University Students Association is the voice and community for all OU students. Membership of the Association is automatic and there are many opportunities to get involved or access the Association’s services.
Student Community

The Students Association exists to promote the interests of students and to ensure The Open University is more than just a place of learning – it’s a student community. The Association has vibrant communities on:

- Facebook @OUstudents
- Twitter @OUstudents
- Instagram @OUstudentslive
- A suite of forums on the VLE.

Societies

Over 20 student led Societies are currently affiliated to the Association. From Psychology to Bell Ringing – there’s something for everyone and staff are welcome too! Find out more at: www.open.ac.uk/ousa/societies

Local Communities

Coffee mornings and pub meet ups are being organised by OU students throughout the UK. Find the latest events at: www.open.ac.uk/ousa/news-and-events/events

Student voice

The Association enables students to influence how the University is run by representing the collective needs of The Open University students through…

Elected Student Representatives

The Association is led by a team of elected representatives, who make up the Central Executive Committee. There are a variety of roles, find out more at: www.open.ac.uk/ousa/who-we-are/students-who-represent-you

Central Representatives

There is also a huge team of student representatives, who are sharing the student voice in committees, and projects across the University’s entire governance structure. To volunteer as a Central Representative, students should visit: www.open.ac.uk/ousa/get-involved/volunteer

Research

The Association undertakes its very own research projects – with the latest being about module delivery. Visit: www.open.ac.uk/ousa/research

Student Support

Peer Support

Run by student volunteers, a place for students to get some confidential student to student support: www.open.ac.uk/ousa/help-and-support/peer-support

Nightline

Available for all OU students to call through the night on 020 7631 0101 (term time only) or by emailing listening@nightline.org.uk. For more information: www.open.ac.uk/ousa/help-and-support/nightline
**Disabled Students Group**

A hugely supportive and very active group of students:  
[www.open.ac.uk/ousa/get-involved/disabled-students-group](http://www.open.ac.uk/ousa/get-involved/disabled-students-group)

**Student Services**

**OU Students Shop**

Hoodies, pens, teddies, and best of all past exam papers to download for free:  
[www.oustudentsshop.com](http://www.oustudentsshop.com)

**NUS Extra**

OU students can buy the fab discount card for £12 per year:  

**OU Students Educational Trust**

The Association’s own charity, helping students who are in financial need:  

**Volunteering**

There are a variety of volunteering opportunities for those who have plenty of time to spare or a few hours to give, ranging from representing other students to volunteering at day schools or degree ceremonies, there is a volunteering opportunity to suit everyone!

Visit the Association’s website to see what volunteering opportunities are available:  
[www.open.ac.uk/ousa/get-involved/volunteer](http://www.open.ac.uk/ousa/get-involved/volunteer)

**The Open University Alumni Association**

Joining The Open University is the beginning of a lifelong relationship. Even when not studying an OU qualification holder remains part of a most vibrant learning community through The Open University Alumni Association, set up by the University and staffed by a professional team. All graduates (and everyone who has successfully completed an Open University qualification) have automatic free membership of the association.

Members will be sent communications including the regular email newsletter OpenNews which contains the latest Open University developments. Members will also have access to the association’s website: [www.open.ac.uk/alumni](http://www.open.ac.uk/alumni) with access to information on new courses, supporting The Open University, OpenLearn, The Open University on iTunes U and YouTube, member benefits, careers advice, updating details and various social networking sites including Facebook, Twitter, LinkedIn.

All that’s needed is the student’s OUCU and password (if these have been lost or forgotten please contact the alumni relations team). Users will be able to access the site, set up groups, contribute in the forum areas, write blogs or simply look around at what’s on offer.
To contact the team, please send the enquiry with name, address and Open University personal identifier to:

Alumni Relations
The Open University
Walton Hall
Milton Keynes MK7 6AA,
Phone +44 (0)1908 653815
Email alumni@open.ac.uk
www.open.ac.uk/alumni

Association of Open University Graduates (AOUG)

The Association of Open University Graduates offers graduates the opportunity to keep in touch with other like-minded graduates with similar experiences. It is an independent, subscription-funded Association run by Open University graduates for Open University graduates. Members can maintain their links, while fostering new ones, and stay connected with The Open University. AOUG supports The Open University by giving awards to research students in faculties and research centres and to new graduates in each region and nation.

Members’ benefits include:

• opportunities to network with Open University graduates in the UK and internationally
• a website and forum, Facebook and Twitter, AOUG's magazine OMEGA and local e-newsletters
• academic and social events including meetings, trips and weekends away.

To find out more, visit AOUG or contact the AOUG office

Association of Open University Graduates
The Open University
Walton Hall
Milton Keynes
MK7 6AA

Tel: +44(0)1908 653316
Email: aoug@open.ac.uk
Part 5 Research degree appeals and complaints procedures

The Open University aims to provide all students with an excellent learning experience that enables them to achieve their academic goals. The University recognises that sometimes things go wrong and, when they do, it welcomes the opportunity to put them right as soon as possible.

Local arrangements for research degree registration are managed by the ARC and are covered by the ARC’s complaints policy.

The Open University’s Student Complaints and Appeals Procedures apply to all enquirers, applicants and research students. They cover academic and administrative decisions made by The Open University, and services provided by The Open University. A student may also refer to the University’s Student Complaints and Appeals Procedures if s/he has made a formal complaint which the ARC has been unable to satisfactorily resolve through its student complaints procedures. Students can obtain this procedure in alternative formats and seek help, advice and guidance on making a formal complaint or appeal from the Complaints and Appeals area of the Help Centre, the Graduate School Network and from either the Student Casework Office or the Research Degrees Office.

5.1 Administrative appeals

The University defines an administrative appeal as ‘a request for a review of a decision taken by an individual or body charged with making decisions about any aspect of a student’s access to learning or learning experience with the University which is not an academic decision.

Decisions which have been made by The Open University and are not academic in nature are covered by the University’s Administrative Appeals Procedure.

More information on administrative appeals can be found in the Help Centre.

5.2 Academic appeals

The University defines an academic appeal as ‘a request for a review of a decision of an academic body charged with making decisions on admission, assessment, student progression and awards.’

Academic decisions which have been made by The Open University about entry requirements, reasonable adjustments for assessment for students with disabilities, progression rules, academic misconduct, thesis submission and the award of qualifications would be covered by the Academic Appeals Procedure.

More information on academic appeals can be found in the Help Centre.

5.3 Complaints

The University defines a complaint as ‘an oral or written expression of dissatisfaction concerning the provision of a programme of study or related academic or administrative service, which is not an appeal against a decision’.

Concerns about the provision of a service or facility, or the failure to provide a service or facility, where the standard of the service or facility has fallen below the standard that might
reasonably be expected or where there is a reasonable expectation that the service or facility
would be provided would be covered by the Complaints Procedure.

A student who experiences problems with any aspect of the academic or administrative
services in the ARC should discuss them with his/her supervision team, the third party
monitor, the ARC research degree coordinator as soon as possible and if necessary initiate
the student complaints procedure in the ARC.

If a problem remains after the ARC’s internal procedures have been exhausted, the student
may address the complaint to The Open University. The University will seek a resolution
which is fair to both the student and the ARC and that preserves the standard of the
University’s research degrees.

A student who experiences a problem with any aspect of the University’s academic or
administrative services should contact the Research Degrees Office in the first instance.
Contact details are in Part 6.

Whatever the problem it is essential that a student acts immediately if there is a possibility
that his/her studies will be affected by any problem experienced.

In most cases, a letter, phone call or email to the Research Degrees Office will elicit help and
advice to resolve the problem.

The University cannot accept responsibility for problems that affect the outcome of a
student’s studies if s/he delays informing the University until it is too late to put things right.

More information on the University’s complaints procedure, can be found in the Help Centre.

5.4 Sources of advice

Advice is available from:

The Research Degrees Office
The Open University
Milton Keynes
MK7 6AA
Phone +44(0)1908 654882
Email research-degrees-ARC@open.ac.uk

The Student Casework Office
The Open University
PO Box 5155
Milton Keynes
MK7 6YJ
Phone +44(0)1908 659535
Fax +44 (0)1908 659536
Email studentcaseworkoffice@open.ac.uk

The Open University Students’ Association central office at Walton Hall, Milton Keynes
Email ousa@student.open.ac.uk
5.5 Other policies and statements

Students can access the University's other regulations, policies and statements on the Universities Essential Documents website.

All policies and statements relevant to research students can be found on the Graduate School Network.

5.6 The Open University's Research Degrees Supervision Policy

Introduction

This policy sets out the University’s expectations and requirements for Postgraduate Research Student (PGRS) supervision; it applies to MPhil, PhD and EdD students directly registered and those who are registered through the Affiliated Research Centre programme.

Supervision of postgraduate research students is considered to be an integral and valued aspect of the academic role. It requires ongoing development and reflection in line with departmental, faculty and OU policies.

The policy adheres to the University’s equality and diversity principles; it recognises and values diversity of individual students and supervisors. Equally, the policy recognises diversity of arrangements within disciplines. The purpose of the policy is to provide an environment in which research degree supervision takes place in a supportive, enabling and effective way for all but which articulates a set of minimum expectations for all supervisory arrangements.

Appointment of supervisors

Faculties, institutes and Affiliated Research Centres are required to have clear and consistent processes for the eligibility and appointment of supervisors. Directors of research degrees (or equivalent, including Research Degree Co-ordinators in Affiliated Research Centres) are responsible, in consultation with their colleagues, for recommending supervision teams to the Research Degrees Committee.

Supervision teams will typically comprise of two internal supervisors, one of whom is named as the main contact, who takes day-to-day responsibility for administrative issues and processes required for student registration, progression and completion. However it is the responsibility of both internal supervisors to ensure that all administrative elements of the student’s registration are completed in a timely manner.

It is recognised that the EdD programme and Affiliated Research Centres will constitute supervision teams from a mix of internal and external supervisors as appropriate.
Team supervision enables a breadth of experience and knowledge across the supervisory team. The team jointly share responsibility for the full range of supervisory activities required to support a student from initial induction to completion of their studies.

Students are allocated to supervisors who have academic competence in their chosen area. Supervisors should typically be active researchers involved in research in their chosen discipline. The expectation is that supervisors will have a research degree (usually a PhD or professional doctorate) or, for some disciplines, supervisors may have demonstrated significant engagement within their research or practice field in the absence of a research degree. It is expected that within the supervisory team at least one supervisor will have a doctorate. Engagement in research is defined at the level of institute/faculty/discipline/unit, but needs to be clearly demonstrated, for example through outputs such as peer review articles, books, reports, etc.

Within the supervision team there needs to be experience of supervision of at least one UK PhD to successful completion. In addition to this requirement, teams may also demonstrate experience of research degree supervision in a variety of ways including experience of broader research degree processes such as experience of examination (either as an examiner or as an exam panel chair); engagement with the University’s training programme for supervisors, or engagement with the University’s research development programme for students.

The appointment of external supervisors is determined by the need of the student and any negotiated arrangements for their studies (i.e. co-funded by an external organisation/industrial partner, or supervised through a DTC partnership) and in the case of Affiliated Research Centres, may be determined by institutional policy. Any external appointment would typically be in addition to the two internal supervisors.

All supervisor appointments require Research Degrees Committee approval.

Supporting students

The level and type of support required by students varies across the course of their studies and across institutes/faculties/disciplines. Arrangements between the research student and supervisors may be kept flexible, as long as the team and the institute or faculty director of research degrees are satisfied that adequate support is being provided for the research student and that there are sufficient opportunities for formally monitoring progress. However, there will be an expected minimum contact.

The style and approach to supervision will vary by discipline and by the individual needs of the student and supervisors. However, full-time students should normally have a minimum of ten formal supervision meetings in an academic year. Part-time students should normally have five such formal supervision meetings as a minimum in an academic year, ideally with one supervision meeting face-to-face. Formal means that the meeting is scheduled and that there are jointly agreed notes of the meeting.

Meetings with full-time students will usually be face-to-face; however, other arrangements may also be used such as telephone, video conference and Skype. Supervisory meetings with part-time students should be arranged according to whatever means of communication is most appropriate.

Subject to this agreed minimum, the frequency of supervision meetings will vary during the course of a student’s research programme especially at key times such as: during the first months of the PhD, prior to the Probationary Review; and approaching thesis submission.
Supervisors may also choose to schedule additional meetings with students to help meet their individual learning needs.

Supervision in teams enables short absences to be managed by the supervisory team in consultation with the Head of Department or line manager as necessary. Where there is a significant absence of a supervisor alternative support needs to be provided. An absence of over three months requires provision of alternative arrangements agreed within faculty and institute or Affiliated Research Centre.

Where supervisors have been on extended leave discussions about the viability of returning to supervisory team need to take place. The decision is made based on student need, the stage of the student’s studies and the supervisory team.

**Supporting supervisors**

This policy recognises that research degree supervision is a process that requires a thorough induction and continued ongoing professional development.

Faculties, institutes and Affiliated Research Centres are responsible for allocating sufficient time for supervisors to carry out the duties required for quality supervision and support of students.

Supervisors are required to undertake initial training within the first 6-12 months of beginning the role within the University or within the Affiliated Research Centre. This includes experienced supervisors who are new to the University as well as newly qualified supervisors.

Training is provided within the University to support supervisors in the development of their role. Within institute/faculty/unit the career development and staff appraisal (CDSA) process provides individual supervisors with the opportunity to discuss their training needs and workload concerning supervision with their line manager. This enables support and the identification of ongoing development/training opportunities relevant for the role at a disciplinary level. Affiliated Research Centres are responsible for ensuring that supervisors have access to training to support the development of their role.

Development needs and performance issues are managed through the usual line management processes.

Where there are concerns about quality of supervision, directors of research degrees (or equivalent, including Research Degrees Co-ordinator in Affiliated Research Centres) can, where required, provide feedback to line managers.

Where supervisors have concerns about the quality or progress of a student’s work the relevant director of research degrees (or Research Degree Co-ordinator in an Affiliated Research Centre) supports the team through a number of actions including the procedure for managing unsatisfactory progress.
Part 6 Contact details

Research and Academic Strategy Unit

Research Degrees Office
The Open University
Walton Hall
Milton Keynes
MK7 6AA
Phone +44 (0)1908 654822
Email research-degrees-ARC@open.ac.uk

Research and Enterprise Office
Phone +44 (0)1908 659531
Fax +44 (0)1908 655477
For advice on intellectual property assignment and technology transfer:
Email enterprise@open.ac.uk
For advice on support for research funding:
Email research-support@open.ac.uk

Research Ethics
Phone +44 (0)1908 654858
Email research-ethics@open.ac.uk
Website: www.open.ac.uk/research/ethics/

Other areas of the University

Ceremonies Centre
The Open University
PO Box 123
Milton Keynes
MK7 6DQ
Phone +44 (0)1908 653003
Email ceremonies@open.ac.uk

Data Protection Coordinator
The Open University
PO Box 497
Milton Keynes
MK7 6AT
Email data-protection@open.ac.uk